

# CMSC 330: Organization of Programming Languages

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## Type Inference and Unification

# Type Checking vs Type Inference

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- ▶ Type checking: use declared types to check types are correct

```
let apply (f: ('a->'b)) (x: 'a) : 'b = f x
```

- ▶ Type inference:

```
let apply f x = f x
```

- Infer the most general types that could have been declared, and type checks the code without the type information

# The Type Inference Algorithm

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- ▶ Input: A program without types
- ▶ Output: A program with type for every expression, which is annotated with its most general type

# Why do we want to infer types?

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- ▶ Reduces syntactic overhead of expressive types
  - // C++ Declare a vector of vectors of integers  
`std::vector<std::vector<int>> matrix;`
- ▶ Guaranteed to produce most general type
- ▶ Widely regarded as important language innovation
- ▶ Illustrative example of a flow-insensitive static analysis algorithm

# History

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- ▶ Original type inference algorithm
  - Invented by Haskell Curry and Robert Feys for the simply typed lambda calculus in 1958
- ▶ In 1969, Hindley
  - extended the algorithm to a richer language and proved it always produced the most general type
- ▶ In 1978, Milner
  - independently developed equivalent algorithm, called algorithm W, during his work designing ML
- ▶ In 1982, Damas proved the algorithm was complete.
  - Currently used in many languages: ML, Ada, Haskell, C# 3.0, F#, Visual Basic .Net 9.0. Have been plans for Fortress, Perl 6, C++0x,...

# Type Inference: Basic Idea

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## ▶ Example

```
fun x -> 2 + x  
-: int -> int = <fun>
```

- ▶ What is the type of the expression?
  - + has type:  $\text{int} \rightarrow \text{int} \rightarrow \text{int}$
  - 2 has type:  $\text{int}$
  - Since we are applying + to x we need  $x : \text{int}$
  - Therefore, **fun x -> 2 + x** has type  $\text{int} \rightarrow \text{int}$

# Type Inference: Basic Idea

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▶ Example

```
fun f => f 3
-: (int → a) → a = <fun>
```

▶ What is the type of the expression?

- 3 has type: `int`
- Since we are applying `f` to `3` we need `f : int → a` and the result is of type `a`
- Therefore, `fun f → f 3` has type `(int → a) → a`

# Type Inference: Basic Idea

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- ▶ Example

```
fun f → f (f 3)
```

- ▶ What is the type of the expression?



# Type Inference: Basic Idea

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- ▶ Example

```
fun f → f (f "hi")
```

- ▶ What is the type of the expression?

# Type Inference: Basic Idea

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- ▶ Example

```
fun f → f (f 3, f 4)
```

- ▶ What is the type of the expression?

# Type Inference: Complex Example

```
let square = fun z → z * z in
  fun f → fun x → fun y →
    if (f x y) then (f (square x) y)
    else (f x (f x y))
```

```
* : int → (int → int)
```

```
z : int
```

```
square : int → int
```

```
f : 'a → ('b → bool), x: 'a, y: 'b
```

```
a: int
```

```
b: bool
```

```
(int → bool → bool) → int → bool → bool
```

# Unification

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- ▶ Unification is an algorithmic process of solving equations between symbolic expressions
- ▶ Unifies two terms
- ▶ Used for pattern matching and type inference
- ▶ Simple examples
  - $\text{int} * x$  and  $y * (\text{bool} * \text{bool})$  are **unifiable**
    - $y = \text{int}$
    - $x = (\text{bool} * \text{bool})$
  - $\text{int} * \text{int}$  and  $\text{int} * \text{bool}$  are **not unifiable**

# Type Inference Algorithm

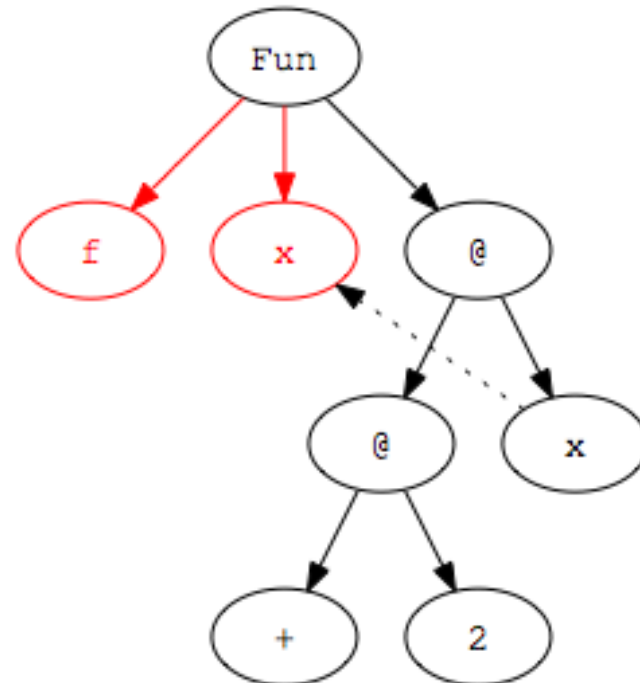
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- ▶ Parse program to build parse tree
- ▶ Assign type variables to nodes in tree
- ▶ Generate constraints:
  - From environment: literals (2), built-in operators (+), known functions (tail)
  - From form of parse tree: e.g., application and abstraction nodes
- ▶ Solve constraints using *unification*
- ▶ Determine types of top-level declarations

# Step 1: Parse Program

- Parse program text to construct parse tree

`let f x = 2 + x`

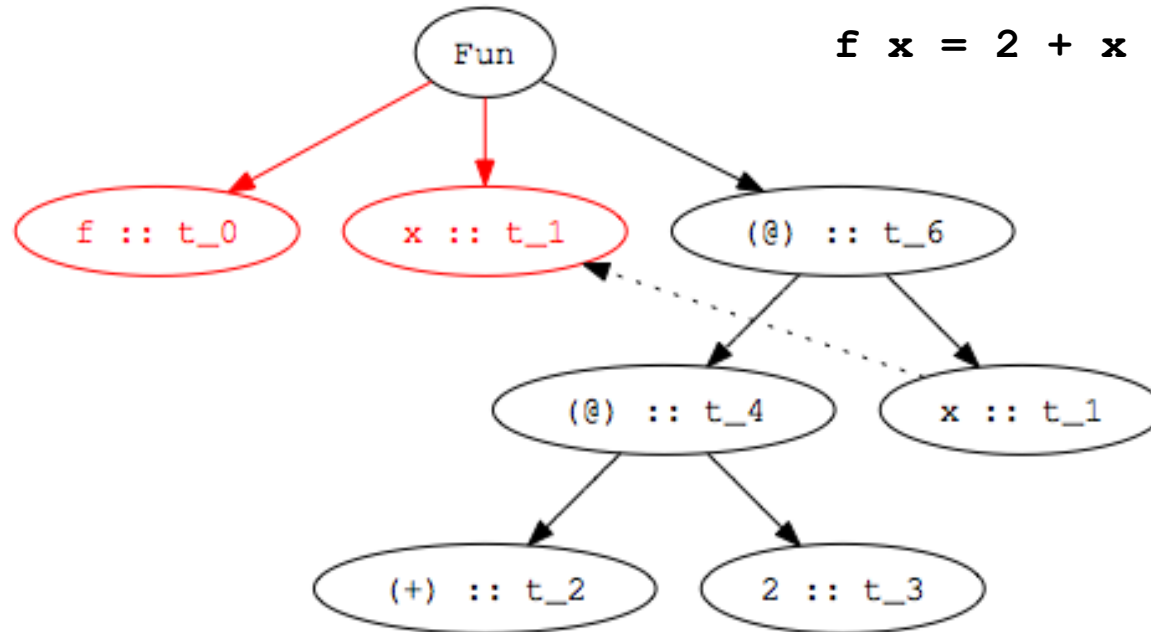


Infix operators are converted to Curried function application during parsing: (not necessary)

$2 + x \rightarrow (+) 2 x$

## Step 2: Assign type variables to nodes

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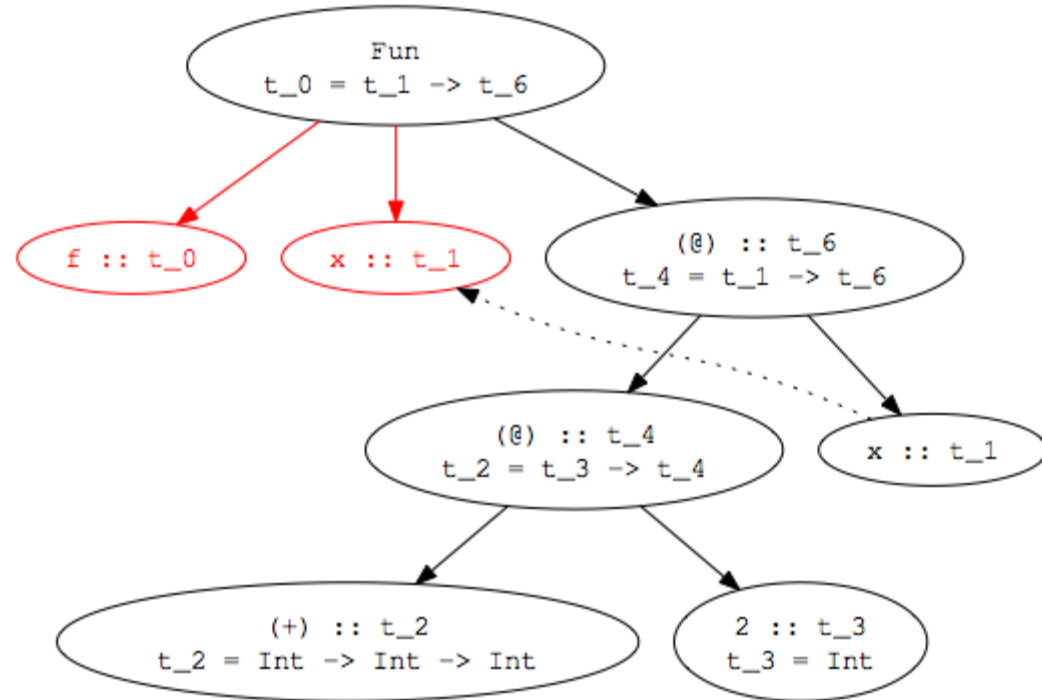


Variables are given same type as binding occurrence

# Step 3: Add Constraints

```
let f x = 2 + x
```

```
t_0 = t_1 -> t_6  
t_4 = t_1 -> t_6  
t_2 = t_3 -> t_4  
t_2 = int -> (int -> int)  
t_3 = int
```





# Step 4: Solve Constraints

let f x = 2 + x

```
t_0 = t_1 -> t_6
```

```
t_4 = t_1 -> t_6
```

```
t_2 = t_3 -> t_4  
t_2 = int -> (int -> int)
```

```
t_3 = int
```

```
t_3 -> t_4 = int -> (int -> int)
```

```
t_0 = t_1 -> t_6
```

```
t_4 = t_1 -> t_6
```

```
t_4 = int -> int
```

```
t_2 = int -> (int -> int)
```

```
t_3 = int
```

```
t_3 = int
```

```
t_4 = int -> int
```

```
t_1 -> t_6 = int -> int
```

```
t_0 = int -> int
```

```
t_1 = int
```

```
t_6 = int
```

```
t_4 = int -> int
```

```
t_2 = int -> (int -> int)
```

```
t_3 = int
```

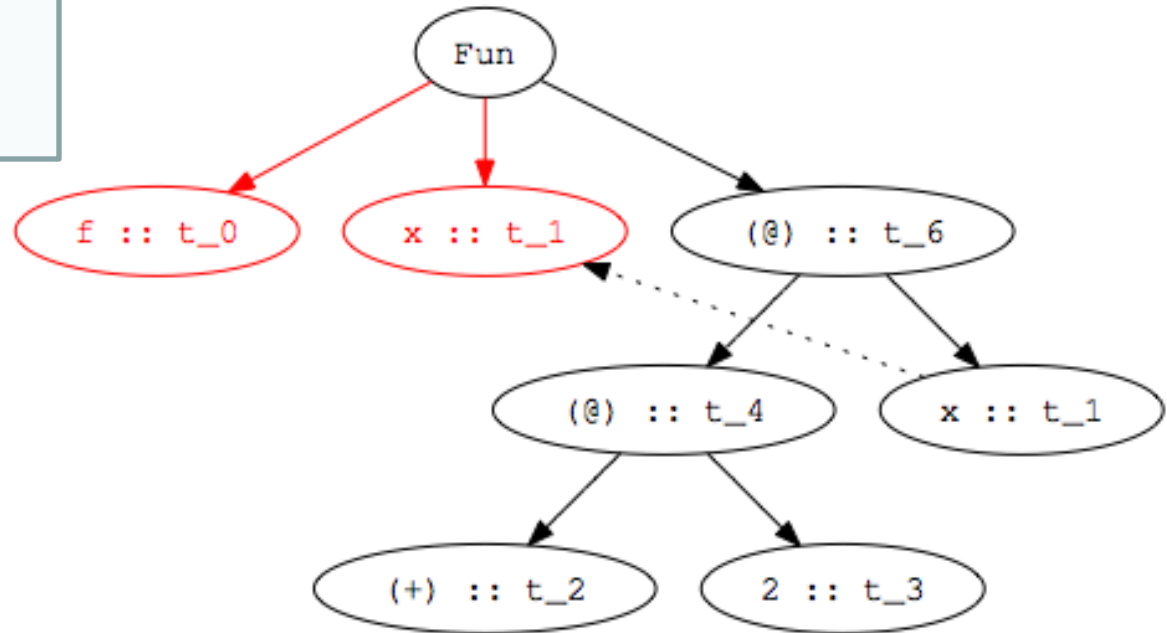
```
t_1 = int
```

```
t_6 = int
```

# Step 5: Determine type of declaration

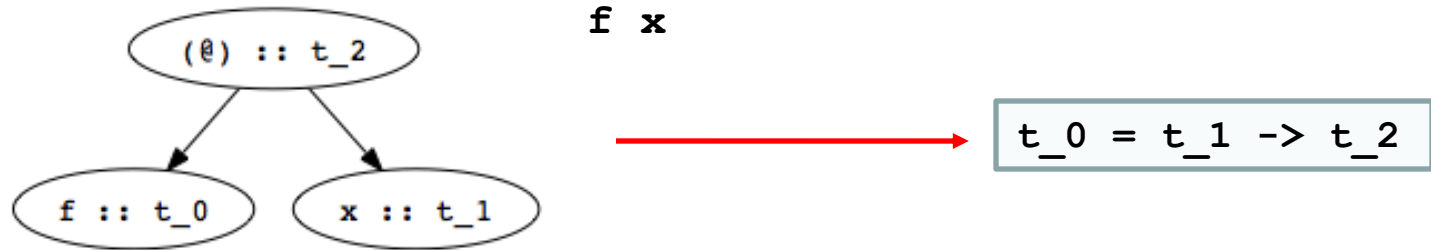
```
t_0 = int -> int
t_1 = int
t_6 = int -> int
t_4 = int -> int
t_2 = int -> int -> int
t_3 = int
```

```
let f x = 2 + x
val f : int -> int =<fun>
```



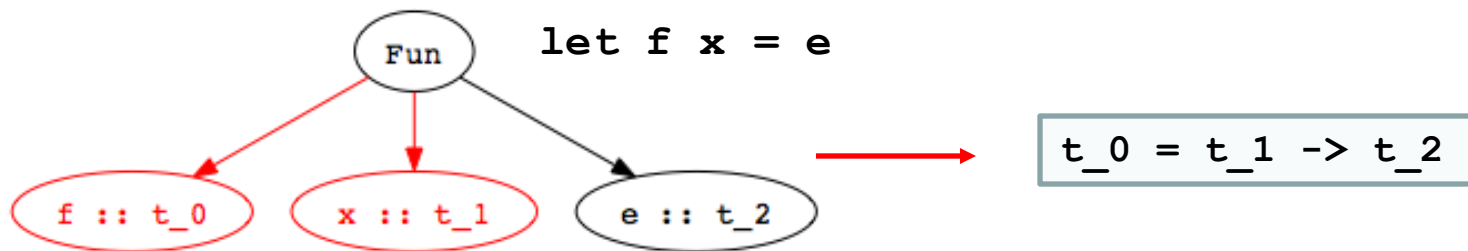
# Constraints from Application Nodes

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- ▶ Function application (apply  $f$  to  $x$ )
  - Type of  $f$  ( $t_0$  in figure) must be **domain**  $\rightarrow$  **range**
  - Domain of  $f$  must be type of argument  $x$  ( $t_1$  in fig)
  - Range of  $f$  must be result of application ( $t_2$  in fig)
  - Constraint:  $t_0 = t_1 \rightarrow t_2$

# Constraints from Abstractions



## ▶ Function declaration:

- Type of  $f$  ( $t_0$  in figure) must  $\text{domain} \rightarrow \text{range}$
- Domain is type of abstracted variable  $x$  ( $t_1$  in fig)
- Range is type of function body  $e$  ( $t_2$  in fig)
- Constraint:  $t_0 = t_1 \rightarrow t_2$

# Inferring Polymorphic Types

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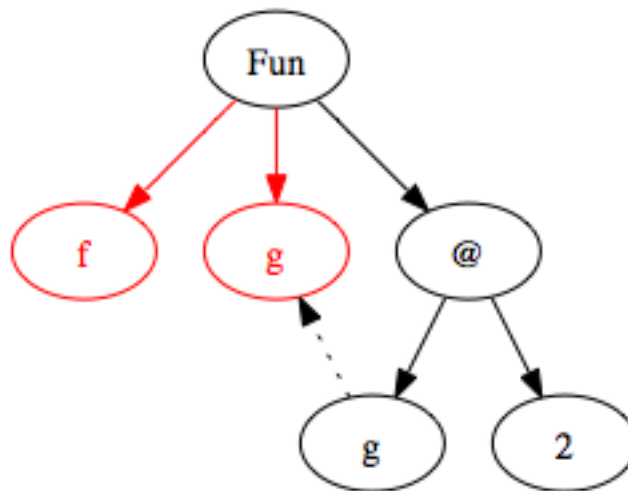
- ▶ Example:

```
let f g = g 2
```

- ▶ Step 1:

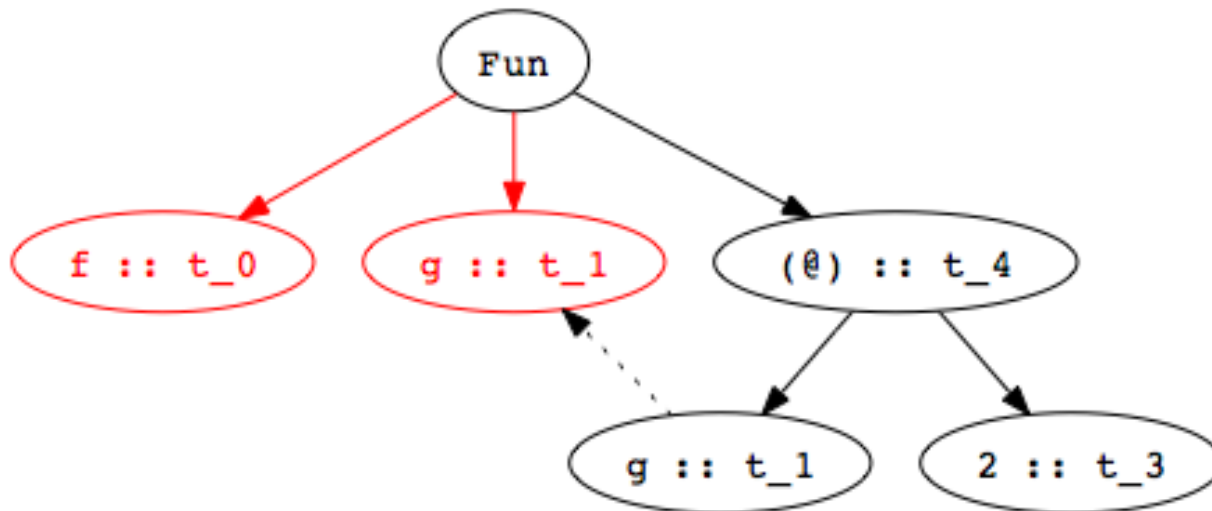
```
val f : (int -> t_4) -> t_4 = <fun>
```

- Build Parse Tree



# Inferring Polymorphic Types

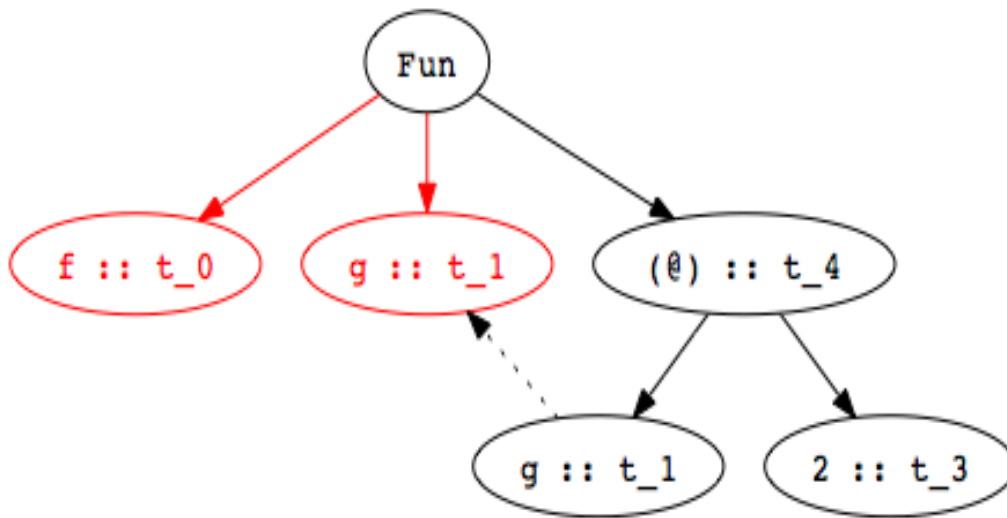
- ▶ Example: `let f g = g 2`
- ▶ Step 2: `val f : (int -> t_4) -> t_4 = fun`
  - Assign type variables



# Inferring Polymorphic Types

- ▶ Example: `let f g = g 2`
- ▶ Step 3: `val f : (int -> t_4) -> t_4 = <fun>`
  - Generate constraints

```
t_0 = t_1 -> t_4
t_1 = t_3 -> t_4
t_3 = int
```



# Inferring Polymorphic Types

▶ Example:

```
let f g = g 2
```

```
val f : (int -> t_4) -> t_4 = <fun>
```

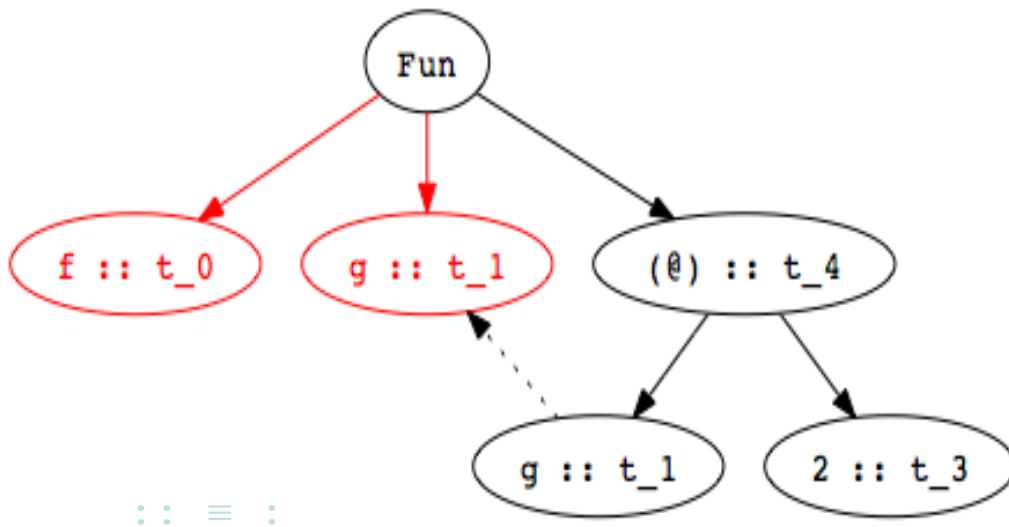
▶ Step 4:

- Solve constraints

```
t_0 = t_1 -> t_4  
t_1 = t_3 -> t_4  
t_3 = int
```



```
t_0 = (int -> t_4) -> t_4  
t_1 = int -> t_4  
t_3 = int
```



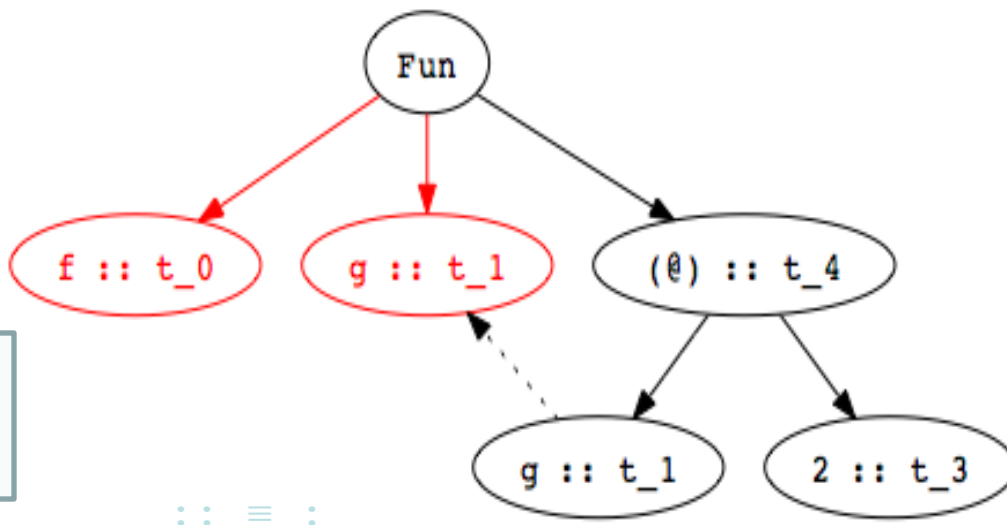


# Inferring Polymorphic Types

- ▶ Example: `let f g = g 2`
- ▶ Step 5: `val f : (int -> t_4) -> t_4 = <fun>`
  - Determine type of top-level declaration

Unconstrained type variables become polymorphic types

```
t_0 = (int -> t_4) -> t_4  
t_1 = int -> t_4  
t_3 = int
```



# Using Polymorphic Functions

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- ▶ Function: 

```
let f g = g 2
val f : (int -> t_4) -> t_4 = <fun>
```
- ▶ Possible applications:

```
let add x = 2 + x
val add : int -> int = <fun>
f add
:- int = 4
```

```
let isEven x = mod (x, 2) == 0
val isEven: int -> bool = <fun>
f isEven
:- bool= true
```

# Recognizing Type Errors

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▶ Function: `let f g = g 2`  
`val f : (int -> t_4) -> t_4 = <fun>`

▶ Incorrect use

```
let not x = if x then true else false
val not : bool -> bool = <fun>
f not
```

```
> Error: operator and operand don't agree
operator domain: int -> a
operand:          bool-> bool
```

▶ Type error:  
cannot unify `bool → bool` and `int → t`

# Another Example

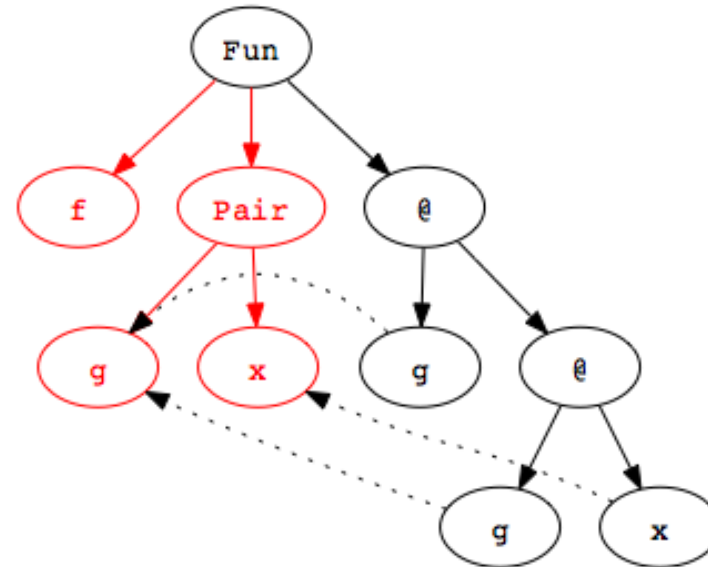
- ▶ Example:

```
let f (g,x) = g (g x)
```

- ▶ Step 1:

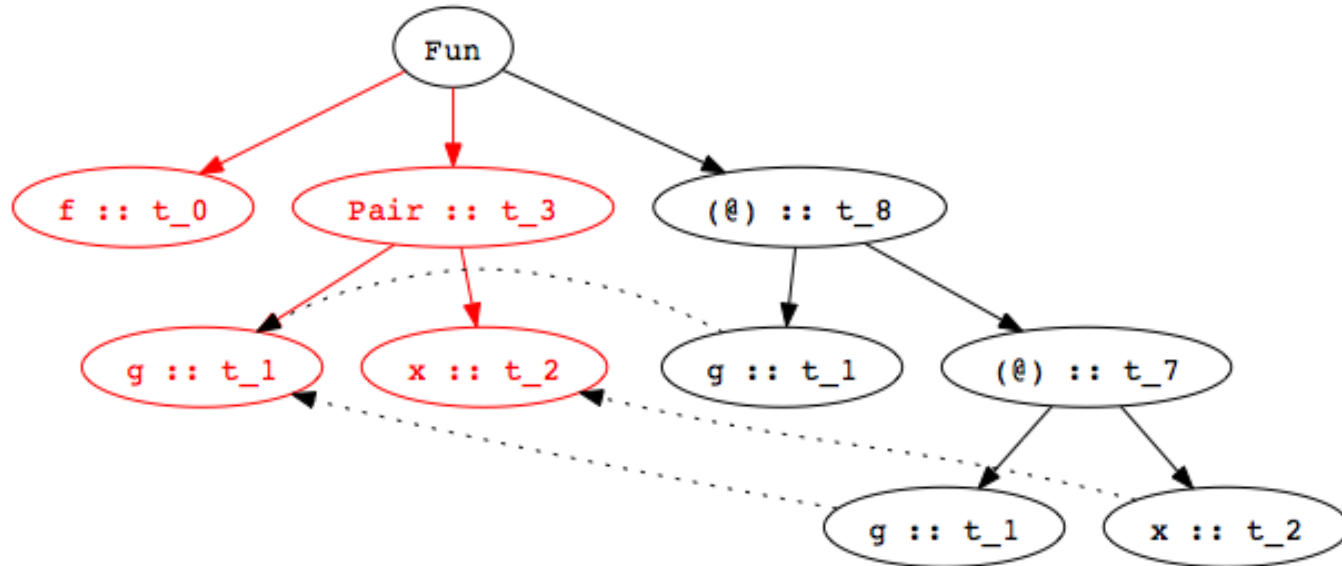
```
val f : ((t_8 -> t_8) * t_8) -> t_8
```

- Build Parse Tree



# Another Example

- ▶ Example: `let f (g,x) = g (g x)`
- ▶ Step 2: `val f : ((t_8 -> t_8) * t_8) -> t_8`
  - Assign type variables



# Another Example

- ▶ Example:

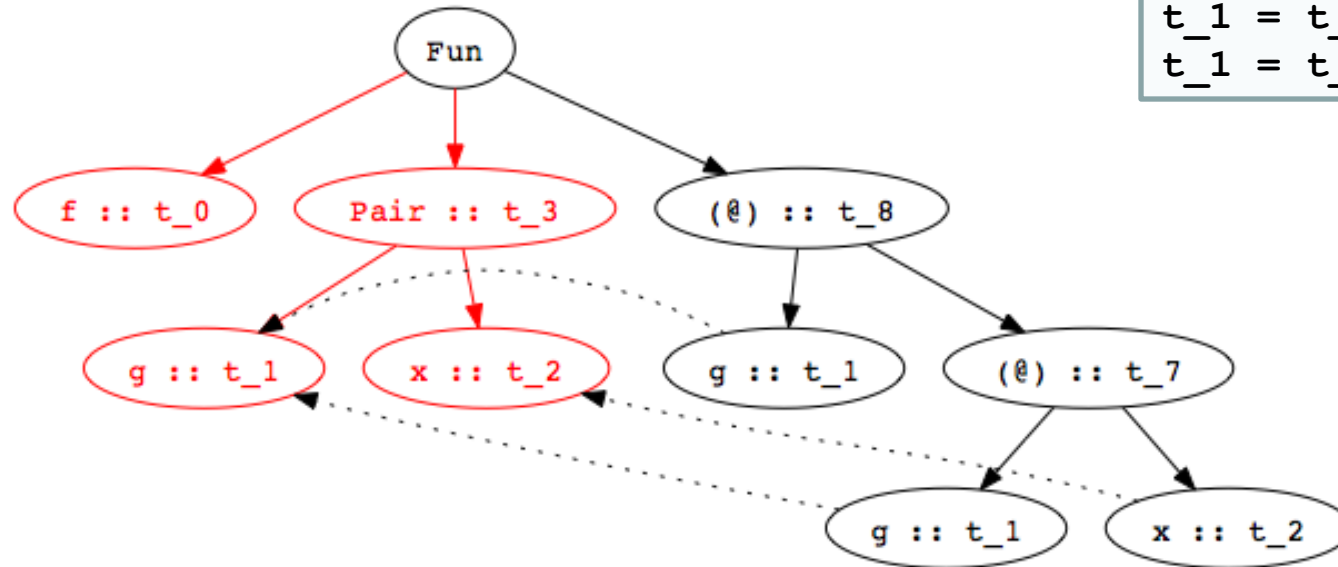
```
let f (g,x) = g (g x)
```

```
val f : ((t_8 -> t_8) * t_8) -> t_8
```

- ▶ Step 3:

- Generate constraints

```
t_0 = t_3 -> t_8  
t_3 = (t_1, t_2)  
t_1 = t_7 -> t_8  
t_1 = t_2 -> t_7
```



# Another Example

- ▶ Example:

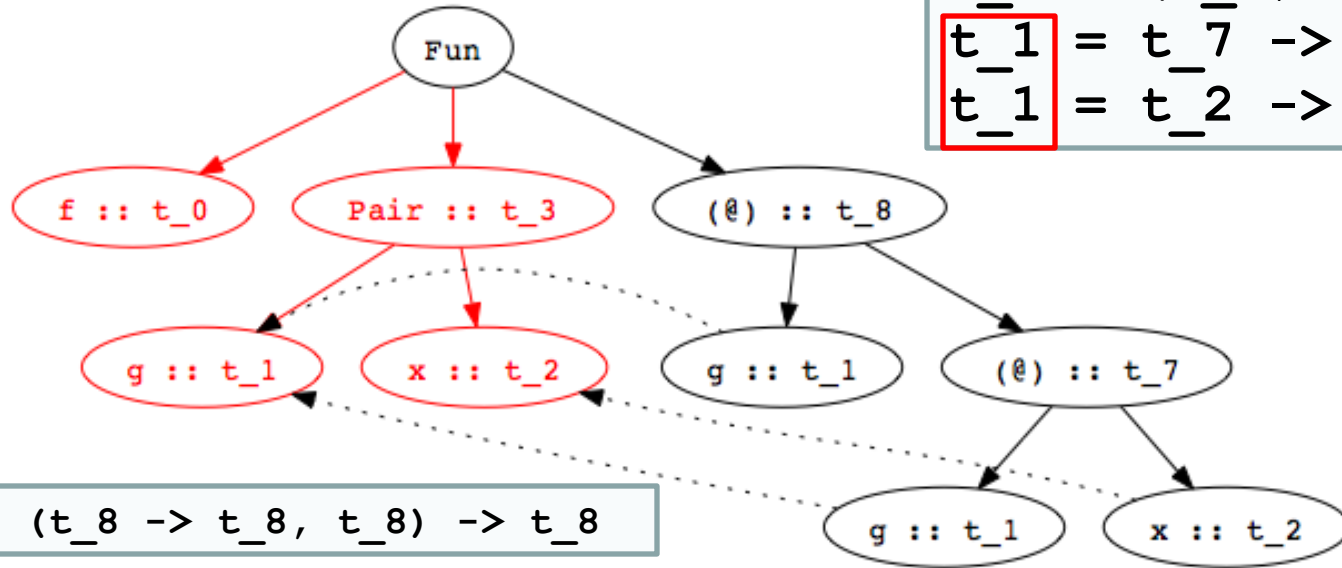
```
let f (g,x) = g (g x)
```

```
val f : ((t_8 -> t_8) * t_8) -> t_8
```

- ▶ Step 4:

- Solve constraints

```
t_0 = t_3 -> t_8  
t_3 = (t_1, t_2)  
t_1 = t_7 -> t_8  
t_1 = t_2 -> t_7
```



# Another Example

- ▶ Example:

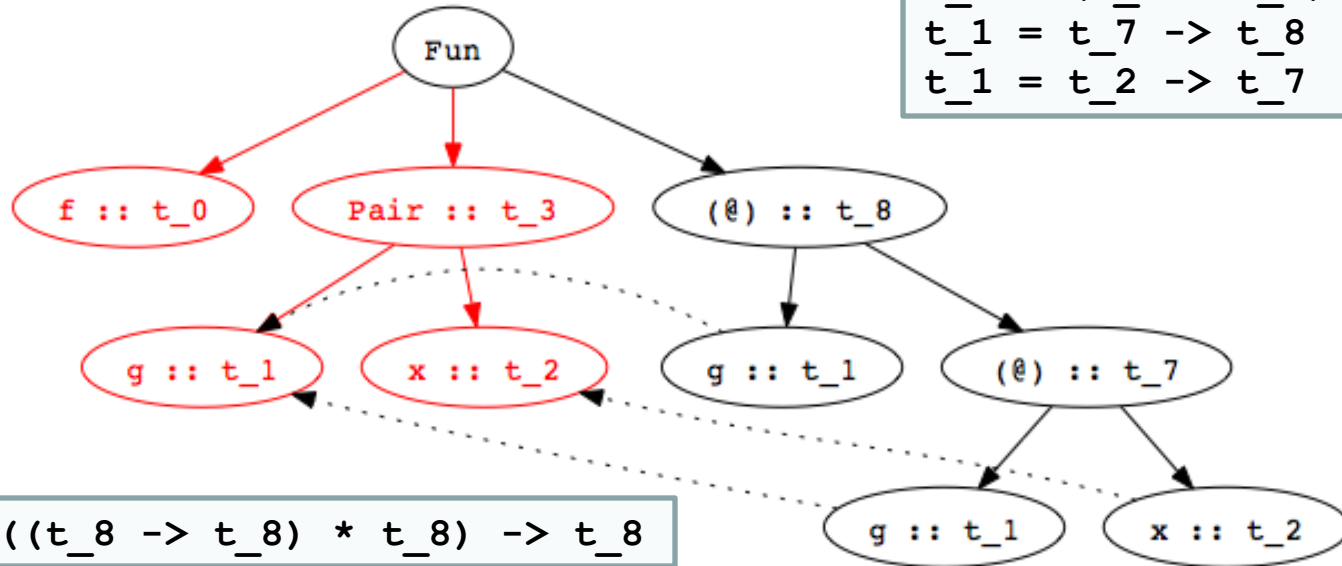
```
let f (g,x) = g (g x)
```

- ▶ Step 5:

```
val f : ((t_8 -> t_8) * t_8) -> t_8
```

- Determine type of f

```
t_0 = t_3 -> t_8  
t_3 = (t_1 * t_2)  
t_1 = t_7 -> t_8  
t_1 = t_2 -> t_7
```





# Most General Type

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- ▶ Type inference produces the *most general type*

```
let rec map f lst =  
  match lst with  
  [] -> []  
  | hd :: tl -> f hd :: (map f tl)  
val map : ('a -> 'b) -> 'a list -> 'b list = <fun>
```

- ▶ Functions may have many less general types

```
val map : (t_1 -> int, [t_1]) -> [int]  
val map : (bool -> t_2, [bool]) -> [t_2]  
val map : (char -> int, [cChar]) -> [int]
```

- ▶ Less general types are all instances of **most general type**, also called the *principal type*

# Complexity of Type Inference Algorithm

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- ▶ When Hindley/Milner type inference algorithm was developed, its complexity was unknown
- ▶ In 1989, Kanellakis, Mairson, and Mitchell proved that the problem was exponential-time complete
- ▶ Usually linear in practice though...
  - Running time is exponential in the depth of polymorphic declarations

# Type Inference: Key Points

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- ▶ Type inference computes the types of expressions
  - Does not require type declarations for variables
  - Finds the most general type by solving constraints
  - Leads to polymorphism
- ▶ Sometimes better error detection than type checking
  - Type may indicate a programming error even if no type error
- ▶ Some costs
  - More difficult to identify program line that causes error
  - Natural implementation requires uniform representation sizes
- ▶ Idea can be applied to other program properties
  - Discover properties of program using same kind of analysis

# Example: Swap Two Values

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## ► OCaml

```
let swap (x, y) =  
  let temp = !x in  
    (x := !y; y := temp)  
val swap : 'a ref * 'a ref -> unit = <fun>
```

## ► C++

```
template <typename T>  
void swap(T& x, T& y){  
    T tmp = x;  x=y;  y=tmp;  
}
```

Declarations both swap two values polymorphically, but they are compiled very differently

# Implementation

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- ▶ OCaml
  - `swap` is compiled into one function
  - Typechecker determines how function can be used
- ▶ C++
  - `swap` is compiled differently for each instance  
(details beyond scope of this course ...)
- ▶ Why the difference?
  - OCaml ref cell is passed by pointer. The local `x` is a pointer to value on heap, so its size is constant
  - C++ arguments passed by reference (pointer), but local `x` is on the stack, so its size depends on the type

# Polymorphism vs Overloading

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## ▶ Parametric polymorphism

- Single algorithm may be given many types
- Type variable may be replaced by any type
- if  $f:t \rightarrow t$  then  $f:int \rightarrow int$ ,  $f:bool \rightarrow bool$ , ...

## ▶ Overloading

- A single symbol may refer to more than one algorithm
- Each algorithm may have different type
- Choice of algorithm determined by type context
- Types of symbol may be arbitrarily different
- In ML,  $+$  has types  $int*int \rightarrow int$ ,  $real*real \rightarrow real$ , no others
- Haskell permits more general overloading and requires user assistance

# Varieties of Polymorphism

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- ▶ **Parametric polymorphism** A single piece of code is typed generically
  - Imperative or first-class polymorphism
  - ML-style or let-polymorphism
- ▶ **Ad-hoc polymorphism** The same expression exhibit different behaviors when viewed in different types
  - Overloading
  - Multi-method dispatch
  - intentional polymorphism
- ▶ **Subtype polymorphism** A single term may have many types using the rule of subsumption allowing to selectively forget information

# Summary

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- ▶ Types are important in modern languages
  - Program organization and documentation
  - Prevent program errors
  - Provide important information to compiler
- ▶ Type inference
  - Determine best type for an expression, based on known information about symbols in the expression
- ▶ Polymorphism
  - Single algorithm (function) can have many types